IMPACTASSETS
INVEST WITH MEANING

ImpactAssets Donor Advised Fund Application

Please complete this application and email clientservice@impactassets.org to request a secure messaging link. For paper submissions, please send the application to us at **4340 East West Highway, Suite 210, Bethesda, MD 20814.**

Please indicate the name of the ImpactAssets contact (if any) that you have been working with:

Name of ImpactAssets Contact

Donors

The Donors of the account have full and equal rights to recommend grant distributions and to elect the successors of the account. If the Donor is a trust, please include the full name of the trust as Donor #1 and the trustee name as Donor #2. There can be more than two Donors. *(Please attach additional sheets if necessary.)*

Donor #1 *(Primary recipient for all account communication)*

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. ☐ Other

Name (First, Middle, Last)

Social Security No. Date of Birth

Home Address (must not contain P.O. Box)

City State Zip/Postal Code

Business Phone Home Phone

Email Mother's Maiden Name

Donor #2

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. ☐ Other

Name (First, Middle, Last)

Social Security No. Date of Birth

Home Address (must not contain P.O. Box)

City State Zip/Postal Code

Business Phone Home Phone

Email Mother's Maiden Name

Donor Notifications

You will receive email notifications that your statement is available for download unless you check the box(es) below to receive paper statements. Statements are posted quarterly to our DonorWeb portal. If you select paper statements, you will receive printed annual statements. ImpactAssets does not mail statements on a quarterly basis. Contribution acknowledgment letters will be available to donors on the DonorWeb portal.

☐ **Donor 1:** I wish to receive annual paper statements instead of electronic.

☐ **Donor 2:** I wish to receive annual paper statements instead of electronic.

ImpactAssets Donor Advised Fund Account Name

Please choose a name for your ImpactAssets Donor Advised Fund account. You can name it after yourself, your family, or your cause (for example, "The Castillo Family Philanthropy Fund for Land Conservation"). All outgoing correspondence regarding your account will include the account name and the name and address of the primary Donor(s), unless you request anonymous correspondence.

ImpactAssets Donor Advised Fund Account Name

Please use this section to indicate a Donor mailing address different than home address:

Donor #1

Mailing Address

City State Zip/Postal Code

Donor #2

Mailing Address

City State Zip/Postal Code

Advisors

If you currently are working with a professional advisor (e.g., financial advisor, CPA, attorney), would you like to give him/her access to your account? There can be more than one advisor. (Please attach additional sheets if necessary.)

☐ Yes ☐ No (If NO, please move on to the Contribution Section.)

Advisor Name

Title Firm

Mailing Address

City State Zip/Postal Code

Email

Daytime Phone

Broker-Dealer Name (if applicable)

Advisor Notifications

Your advisor will receive email notifications that your statement is available for download unless you check the box below to receive paper statements.

☐ **My advisor wishes to receive annual paper statements instead of electronic.**

Select whether your advisor should have Full or Read Only Access.

☐ Full Access ☐ Read Only

Professionally Managed Account Options

☐ **Professionally Managed Accounts (Available for Accounts with balances of $500,000 or higher):** Accounts with balances of $500,000 or higher can nominate their own independent advisor to develop custom investment strategies. If you would like to participate in the Professionally Managed Account program, please check this box.

Contribution Section

Initial Contribution

Please note that the minimum initial contribution is **$25,000**. Please contact us at clientservice@impactassets.org or **855-482-2946** if you plan to contribute digital tokens, physical share certificates, real estate or other property or non-traditional assets.

Contributor/Donor Information

Without accurate contributor/donor details, we are unable to sell shares, if applicable, nor are we able to associate the funds with your donor advised fund account. We are required to collect sufficient support to record the contribution and issue an acknowledgement letter. If you do not know the details of your contribution at this time, please complete the Contribution Form once the information is available.

A letter of receipt will be issued by ImpactAssets to the donor(s) listed on this application OR the donor(s) listed below. If the contribution is from a trust or another legal entity, please provide the name and address of the trust/legal entity so that we can accurately document this in the receipt. If the contribution is being processed through another Donor Advised Fund provider, include the name of the provider as the donor (i.e. My Town Community Foundation).

Please select one of the following two options:

☐ The Donors listed on this application should be acknowledged for the initial contribution to my/our account.

☐ The initial contribution will be funded by another individual or entity. If this is the case, please complete the Contributor/Donor details below.

Contributor/Donor #1

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. ☐ Other

Name (First, Middle, Last)

Mailing Address

City State Zip/Postal Code

Email Home Phone

Contributor/Donor #2

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Dr. ☐ Other

Name (First, Middle, Last)

Mailing Address

City State Zip/Postal Code

Email Home Phone

Timing of Funding

On what date do you anticipate sending this funding to ImpactAssets? _____

For Cash Contributions

$ _____

☐ Check

(Please make check payable to ImpactAssets and mail it with the completed ImpactAssets Donor Advised Fund account application)

$ _____

☐ Wire

_____
Bank of Origination

$ _____

☐ Funded by another DAF Provider

_____
Name of DAF Provider

Wire Instructions: Please contact ImpactAssets at clientservice@impactassets.org or 855-482-2946 for wire instructions.

For Securities or Mutual Fund Contributions

Note: You will need to initiate the transfer of securities with the holding firm. Additional paperwork may be required by your bank or financial institution.

ImpactAssets Brokerage Account Information:
DTC#: 0164, Code 40
Custodian: Charles Schwab & Co, Inc.
Beneficiary Account Name: ImpactAssets Inc.
Beneficiary Account Number: 7835-8108

Holding Firm Information

_____
Name of Firm Holding Assets

_____
Account Number

Security Name	Ticker Symbol	Quantity	Approximate $ Value
Security Name	Ticker Symbol	Quantity	Approximate $ Value
Security Name	Ticker Symbol	Quantity	Approximate $ Value
Security Name	Ticker Symbol	Quantity	Approximate $ Value
Security Name	Ticker Symbol	Quantity	Approximate $ Value

_____
Approximate Total $ Value

Notes:
- Shares should be transferred in kind and are not to be liquidated until they are received by ImpactAssets.
- Physical stock certificates may require a Third Party Release Form and additional paperwork. Please contact ImpactAssets for additional information.

Succession Plan Section

Donors have the option of:
1. Supporting ImpactAssets' fund, which will be used to create jobs, preserve the environment, promote sustainable development, improve healthcare, build homes, and change lives worldwide;
2. Electing individuals to succeed them on the account with full rights as Donors;
3. Or, recommending charitable organizations to receive the remaining assets, as the beneficiary upon the death, incapacity or other disqualification of ALL Donors of the account.

Note: You may choose a combination of all three (total must equal 100%). If no option is selected, the succession plan will default to Option (1). Refer to the Program Circular for details. A Donor can change this election at any time by notifying ImpactAssets in writing.

☐ 1. I would like to name ImpactAssets as the beneficiary of the ImpactAssets Donor Advised Fund account.

_____
% of ImpactAssets Donor Advised Fund Account Value

☐ 2. I would like to name the following individual(s) as beneficiaries of the ImpactAssets Donor Advised Fund account. *Please select one of the following options to determine how the account will be held by the successor(s):*

- ☐ Person(s) named below succeeds the account with full rights as Donor(s). **Note:** Will default to this option if neither is selected
- ☐ Persons named below split the remaining, undistributed assets establishing separate accounts ($25,000 minimum/account) with full rights as Donor(s).

Successor #1

_____
Name (First, Middle, Last)

_____
Social Security No. (Last 4 digits)

_____
Email **Home Phone**

_____
% of ImpactAssets Donor Advised Fund Account Value

Successor #2

_____
Name (First, Middle, Last)

_____
Social Security No. (Last 4 digits)

_____
Email **Home Phone**

_____
% of ImpactAssets Donor Advised Fund Account Value

☐ 3. I would like to name the following Charitable Organizations as beneficiaries of my ImpactAssets Donor Advised Fund account. Consider the below-named organizations *(Must be 501(c)(3) U.S.-based Public Charities) as recommended grant recipients upon the death or legal incapacity of all Donors. (Please list additional organizations and percentages on a separate page.)*

Organization #1

_____
Organization

_____
Federal Tax ID **Phone**

Organization #2

_____
Organization

_____
Federal Tax ID **Phone**

Mailing Address

City State Zip/Postal Code

% of ImpactAssets Donor Advised Fund Account Value

Mailing Address

City State Zip/Postal Code

% of ImpactAssets Donor Advised Fund Account Value

Portfolio Investment Section

There are two ways to select investments through your ImpactAssets Donor Advised Fund account: investment allocations and investment recommendations.

Investment Allocation Options

Select the allocation options that best meet your account goals. You can choose across one or more of these ImpactAssets-managed strategies based on your risk appetite, time horizon and return expectations. These are liquid options that you can use to preserve and/or grow your capital, while also having funds available for direct investing and granting over time. Allocations are designated as a percentage of your available balance. Note: Contributions will be allocated to the Liquid Impact Portfolio if you do not recommend an allocation. Choose your own allocation by entering the % of the total account next to each option.

_____**% Aggressive Growth Impact Portfolio:** Diversified investment portfolio of global stocks, bonds and private debt & equity

_____**% Moderate Impact Portfolio:** Balanced portfolio of global stocks, bonds and private debt

_____**% Conservative Impact Portfolio:** U.S. short-term bonds and globally diversified private debt

_____**% Community Investment Strategy:** Globally diversified private debt addressing community development themes, targeting conservative growth

_____**% Liquid Impact Portfolio**: High impact portfolio with daily liquidity*

_____**% TOTAL ALLOCATION** (Must be 100%)

Investment Recommendation Options

Separately from the investment allocation percentages above, you are also able to select investments that align with your specific impact areas of interest. You may choose to make investment recommendations in:

IMPACTASSETS THEMATIC IMPACT FUNDS

Multi-asset class, proprietary funds that invest in private funds and companies addressing a specific thematic issue, including climate change, racial equity, and gender equality *(Investment minimums apply)*

PRIVATE IMPACT OPPORTUNITIES

Fully-vetted selection of third party, professionally managed impact funds that bring an innovative approach to addressing some of the world's greatest challenges including climate and equity *(Investment minimums apply)*

CLIENT RECOMMENDED INVESTMENTS

Donors source and recommend direct investments in private mission-driven businesses, impact funds and nonprofit organizations. *($25,000 minimum recommendation)*

These investment options can be accessed through the online recommendation form. In contrast to the investment allocations which are made in percentages, investment recommendations require you to set a dollar amount. The allocations indicated above will be applied proportionally to 100% of the assets not invested through a recommendation.

***The Liquid Impact Portfolio offers daily liquidity on a best-efforts basis. Redemption requests that exceed 10% of the balance of the overall Liquid Impact Portfolio may be subject to a redemption gate requiring 60 days' notice. The redemption gate may be waived at ImpactAssets' discretion.*

Please contact clientservice@impactassets.org for a copy of the Investment Supplement that provides a description of each investment selection listed below, as well as a full list of investment options that will be available once your account is open.

If you would like our Client Engagement team to contact you about the Investment Recommendation Options, please include a preferred contact email address and phone number.

Preferred Email _____ Preferred Phone _____

Impact Areas of Interest

The impact areas listed below represent the United Nations (UN) Sustainable Development Goals (SDGs). The UN SDGs are "a universal call to end poverty, protect the planet and improve the lives and prospects of everyone, everywhere." Please mark all that apply.

- ☐ No Poverty
- ☐ Zero Hunger
- ☐ Good Health & Well-being
- ☐ Quality Education
- ☐ Gender Equality
- ☐ Clean Water & Sanitation
- ☐ Affordable & Clean Energy
- ☐ Decent Work & Economic Growth
- ☐ Industry, Innovation & Infrastructure
- ☐ Reduced Inequality
- ☐ Sustainable Cities & Communities
- ☐ Responsible Consumption & Production
- ☐ Climate Action
- ☐ Life Below Water
- ☐ Life on Land
- ☐ Peace, Justice & Strong Institutions
- ☐ Partnerships for the Goals
- ☐ Other _____

Signatures

I acknowledge that I have read the Program Circular and agree to the terms and/or conditions described therein. I understand that any contribution, once accepted by ImpactAssets, represents an irrevocable contribution and is not refundable to me. I hereby certify that, to the best of my knowledge, all information presented in connection with this application is accurate, and I will promptly notify ImpactAssets in writing of any changes. (Please attach any additional donor signatures.)

Donor 1 Signature _____ Name (Please Print) _____ Date ___/___/___

Donor 2 Signature _____ Name (Please Print) _____ Date ___/___/___